



RESEARCH AND INNOVATION
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 VIRGINIA TECH.

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Date: 10/2/2023

To: Board of Visitors

Subject: Report of open contracts entered into subject to the Code of Virginia "State and Local Government Conflict of Interests Act" ("the Act") § 2.2-3106 C. 8.

There were seven contracts entered into at the time of this report (from 7/1/2023 – 9/30/2023) subject to the Act's exception for prohibited contracts involving research and development or commercialization of intellectual property. Details as per the Act § 2.2-3106 E. are included below.

Contract	1 (of 7)
Open contract number	PP3R7UGM
Names of parties	Virginia Tech and Daguna Consulting, LLC
Date contract executed	6/30/21
Contract term	7/1/21- 11/30/23
Subject of contract	"Semi-quantitative Mussel Survey of the Upper North Fork Holston River, Virginia"
Nature of COI	Caitlin Carey, Project Associate with the Conservation Management Institute, has an equity interest in Daguna Consulting, LLC, and has received payments in excess of \$5,000 in the past 12 months from the company. Virginia Tech has received awards from the United States Fish and Wildlife Service and the Virginia Department of Wildlife Resources that include subcontracts to Daguna Consulting, LLC. Caitlin Carey will serve as a co-Investigator for Virginia Tech. The nature of this financial interest, in the context of sponsored research, creates a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity.
Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs

The institution's commitment of resources or finances for the contract	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)

Contract	2 (of 7)
Open contract number	PBMQPY20
Names of parties	Virginia Tech and Daguna Consulting, LLC
Date contract executed	6/30/21
Contract term	7/1/21- 11/30/23
Subject of contract	"Semi-quantitative Mussel Survey of the Little River, Virginia"
Nature of COI	Caitlin Carey, Project Associate with the Conservation Management Institute, has an equity interest in Daguna Consulting, LLC, and has received payments in excess of \$5,000 in the past 12 months from the company. Virginia Tech has received awards from the United States Fish and Wildlife Service and the Virginia Department of Wildlife Resources that include subcontracts to Daguna Consulting, LLC. Caitlin Carey will serve as a co-Investigator for Virginia Tech. The nature of this financial interest, in the context of sponsored research, creates a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity.
Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs
The institution's commitment of resources or finances for the contract	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)

Contract	3 (of 7)
Open contract number	P5YZ74YP
Names of parties	Virginia Tech and the American Society for Engineering Education
Date contract executed	10/27/22
Contract term	10/1/22- 9/30/24
Subject of contract	"CEED Postdoctoral Fellowship"
Nature of COI	Bevlee Watford, Executive Director for the Center for Enhancement of Engineering Diversity, has reported consulting with the American Society for Engineering Education (ASEE) and has received compensation in excess of \$5,000 in the past 12 months from the company. ASEE has received an award from the National Science Foundation that includes funding for a subaward to Virginia Tech. Bevlee Watford is the Principal Investigator for Virginia Tech. The nature of this financial interest, in the context of sponsored research, creates a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity.
Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs
The institution's commitment of resources or finances for the contract	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)

Contract	4 (of 7)
Open contract number	PRXPGLA4
Names of parties	Virginia Tech and Daguna Consulting, LLC
Date contract executed	7/28/23
Contract term	4/1/23- 6/30/24
Subject of contract	"Semi-quantitative and Quantitative Mussel Surveys of the Middle Fork Holston River, Virginia"
Nature of COI	Caitlin Carey, Project Associate with the Conservation Management Institute, has an equity interest in Daguna Consulting, LLC, and has received payments in excess of \$5,000 in the past 12 months from the company. Virginia Tech has received awards from the United States Fish and Wildlife Service and the Virginia Department of Wildlife Resources that include subcontracts to Daguna Consulting, LLC. Caitlin Carey will serve as a co-Investigator for Virginia Tech. The nature of this financial interest, in the context of sponsored research, creates a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity.
Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs
The institution's commitment of resources or finances for the contract	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)

Contract	5 (of 7)
Open contract number	PMN4WJSF
Names of parties	Virginia Tech and ENSCO, Inc.
Date contract executed	8/4/23
Contract term	7/26/23 - 7/10/24
Subject of contract	"MOSES TO77"
Nature of COI	John Morris, Associate Dean for Research in the College of Science, has reported consulting for ENSCO, Inc. and has received payments in the past 12 months exceeding \$5,000 from the company. The external consulting was performed through Morris Scientific Consulting, LLC, which is owned and operated by John Morris. Virginia Tech has received an award from ENSCO, Inc. and John Morris will be the Principal Investigator. The nature of this financial interest, in the context of sponsored research, creates a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity.
Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs
The institution's commitment of resources or finances for the contract	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)

Contract	6 (of 7)
Open contract number	PHZUZHJI
Names of parties	Virginia Tech and ToXcel, LLC
Date contract executed	9/5/23
Contract term	5/10/23- 5/9/24
Subject of contract	"City of Roanoke Safe Streets for All Field Demonstration"
Nature of COI	Bryan Katz, Associate Professor of Practice in the Department of Civil and Environmental Engineering, has outside employment with ToXcel, LLC, and has received payments in the past 12 months exceeding \$5,000 for serving as a principal for the company. Virginia Tech has received an award from Roanoke City, originating from the U. S. Department of Transportation, that includes a subcontract to ToXcel, LLC. Bryan Katz is the Principal Investigator for ToXcel, LLC. The nature of this financial interest, in the context of sponsored research, creates a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity.
Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs
The institution's commitment of resources or finances for the contract	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)

Contract	7 (of 7)
Open contract number	PXUKNZLP
Names of parties	Virginia Tech and Luna Labs USA, LLC
Date contract executed	9/13/23
Contract term	8/15/23- 1/10/24
Subject of contract	"Tributyltin Oxide Prediction Application"
Nature of COI	Stephen Martin, Associate Professor in the Department of Chemical Engineering, has an immediate family member who received compensation in excess of \$5,000 from Luna Labs USA, LLC in the past 12 months. The payments were received by Stephen Martin's spouse who is employed by the company. Luna Labs USA, LLC has received an award from the Department of Defense and is subcontracting to Virginia Tech. Stephen Martin will carry out the scope of work as the Principal Investigator for Virginia Tech. Stephen Martin's spouse is not involved in this research. The nature of this financial interest, in the context of sponsored research, creates a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity.
Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs
The institution's commitment of resources or finances for the contract	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)